

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
REQUEST FOR FILING NATIONAL PATENT APPLICATION

Under 35 USC 111(a) and Rule 53(b)

WITH SIGNED DECLARATION

PATENT APPLICATION

Hon. Commissioner of Patents  
Washington, D.C. 20231NONPROVISIONAL  
NON REISSUE  
NON PCT NAT PHASE

00909

jc903 U.S. PTO  
10/055929  
01/28/02

Sir:

Herewith is the PATENT APPLICATION of  
Inventor(s): SATOTitle PORTABLE INFORMATION APPARATUS AND  
INFORMATION NOTIFICATION METHOD FOR THE SAMEAtty. Dkt.: P 290637 T2TS-01S1247  
M# Client Ref

including:

Date: January 28, 2002

1. Specification: 18 pages (only spec. and claims) 2. ☐ Specification in non-English language
3. Declaration ☒ Original ☐ Facsimile/Copy ☒ Abstract 1 page(s); 10 numbered claims
4. ☒ Drawings: 5 sheet(s) ☐ informal; ☒ formal of size: ☒ A4 ☐ 11"
5. ☐ See top first page re prior Provisional, National or International application(s). ("X" box only if info is there and do not complete item 6 or 7). (Prior M# \_\_\_\_\_ SN \_\_\_\_\_)
6. This application claims benefit of the following prior US application(s), the contents of which are incorporated into this application by this reference:

No. _____ / _____	filed _____
No. _____ / _____	filed _____
No. _____ / _____	filed _____
No. PCT _____ / _____	filed _____, Which

designated the US and that International Application ☐ was ☐ was not published under PCT Article 21(2) in English

7. ☐ See the attached Preliminary Amendment, which amends the specification to claim benefit of the above listed US application(s)

8. ☒ Attached is an assignment and cover sheet. Please return the recorded assignment to the undersigned.

9. ☐ Assignee (optional): \_\_\_\_\_

Address \_\_\_\_\_

10. **FOREIGN** priority is claimed under 35 USC 119(a)-(d)/365(b) based on filing in JAPAN

11. \_\_\_\_\_ (country)

Application No.	Filing Date	Application No.	Filing Date
(1) 2001-074715	March 15, 2001	(2)	
(3)		(4)	
(5)		(6)	
(7)		(8)	
(9)		<input type="checkbox"/> See 3 <sup>rd</sup> page for additional priorities	

12. 1 (No.) Certified copy (copies): ☒ attached; ☐ previously filed (date) \_\_\_\_\_  
in U.S. Application No. \_\_\_\_\_ / \_\_\_\_\_ filed on \_\_\_\_\_
13. Small entity status ☐ is **not** claimed; ☐ is claimed (**Pre-filing confirmation required**)
- 13(a). ☐ Attached: \_\_\_\_\_ (No.) Small Entity Statement(s) (since 9/8/00 small entity statement(s) not essential to make claim)
- 13(b). ☐ See **NONPUBLICATION REQUEST** under Rule 213(a) attached (Pat-258)

14. **DOMESTIC/INTERNATIONAL** priority is claimed under 35 USC 119(e)/120/365(c) based on the following provisional, nonprovisional and/or PCT international application(s):

Application No.	Filing Date	Application No.	Filing Date
(1)		(4)	
(2)		(5)	
(3)		(6)	

15. ☐ This application is being filed under Rule 53(b)(2) since an inventor is named in the enclosed Declaration who was not named in the prior application.

16. ☐ Attached:

17. ☐ Preliminary Amendment:

**THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED**

				Large/Small Entity		Fee Code
18. Basic Filing Fee				\$740/\$370	\$740	101/201
19. Total Effective Claims	10	minus 20 =	*0	x \$18/\$9 =	+ 0	103/203
20. Independent Claims	2	minus 3 =	*0	x \$84/\$42 =	+ 0	102/202
*If answer is zero or less, enter "0"						
21. If any proper multiple dependent claim (ignore improper) is present, add (Leave this line blank if this is a reissue application)				+ \$280/\$140	+ 0	104/204
22. TOTAL FILING FEE ENCLOSED =					\$740	
23. If "non-English" box 2 is X'd, add Rule 17(k) processing fee				+ \$130	+ 0	139
24. If "assignment" box 8 is X'd, add recording fee				+ \$40	+ 40	581
25. <input type="checkbox"/> Attached is a Petition/Fee under Rule No.				+ \$130	+ 0	122
26. TOTAL FEE ENCLOSED =					\$780	

Our Deposit Account No. 03-3975

Our Order No. 8312

C#

289637

M#



00909

**CHARGE STATEMENT:** The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficient fee only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown above for which purpose a duplicate copy of this sheet is attached.

**This CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed.**

**Pillsbury Winthrop LLP  
Intellectual Property Group**

By Atty: Glenn J. Perry

Reg. No. 28458

Sig:

Fax: (703) 905-2500  
Tel: (703) 905-2161

Atty/Sec: gjp/vaw

NOTE: File in duplicate with 2 post card receipts (PAT-103) & attachments

# APPLICATION UNDER UNITED STATES PATENT LAWS

Atty. Dkt. No. PW 290637

(M#)

Invention: PORTABLE INFORMATION APPARATUS AND INFORMATION NOTIFICATION METHOD  
FOR THE SAME

Inventor (s): Yoneo SATO



00909

Pillsbury Winthrop LLP

This is a:

- ☐ Provisional Application
- ☒ Regular Utility Application
- ☐ Continuing Application
  - ☐ The contents of the parent are incorporated by reference
- ☐ PCT National Phase Application
- ☐ Design Application
- ☐ Reissue Application
- ☐ Plant Application
- ☐ Substitute Specification
  - Sub. Spec Filed \_\_\_\_\_
  - in App. No. \_\_\_\_\_ / \_\_\_\_\_
- ☐ Marked up Specification re
  - Sub. Spec. filed \_\_\_\_\_
  - In App. No \_\_\_\_\_ / \_\_\_\_\_

## SPECIFICATION

208210" 62655001

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#2 4-17-02  
D.J.  
3C903 U.S. PTO  
10/055929  
01/28/02

In re PATENT APPLICATION of  
Inventor(s): SATO

Appln. No.: \_\_\_\_\_  
Series Code    ↑                      ↑    Serial No.

Group Art Unit:

Filed: Herewith

Examiner:

Title: PORTABLE INFORMATION APPARATUS AND  
INFORMATION NOTIFICATION METHOD FOR THE SAME

Atty. Dkt.    P    290637                      T2TS-01S1247  
M#    Client Ref

Date: January 28, 2002

## SUBMISSION OF PRIORITY DOCUMENT IN ACCORDANCE WITH THE REQUIREMENTS OF RULE 55

Hon. Asst Commissioner of Patents  
Washington, D.C. 20231

Sir:

Please accept the enclosed certified copy(ies) of the respective foreign application(s) listed below for which benefit under 35 U.S.C. 119/365 has been previously claimed in the subject application and if not is hereby claimed.

<u>Application No.</u>	<u>Country of Origin</u>	<u>Filed</u>
2001-074715	JAPAN	March 15, 2001

Respectfully submitted,

Pillsbury Winthrop LLP  
Intellectual Property Group

1600 Tysons Boulevard  
McLean, VA 22102  
Tel: (703) 905-2000

Atty/Sec: gjp/vaw

By Atty: Glenn J. Perry                      Reg. No. 28458  
Sig: [Signature]                      Fax: (703) 905-2500  
Tel: (703) 905-2161